

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Siddhartha Nandi, Abhay Kumar Singh, Oleg Kiselev
Assignee: VERITAS Operating Corporation
Title: System And Method For Dynamically Loadable Storage Device
I/O Policy Modules
Serial No.: 10/717,037 Filing Date: November 19, 2003
Examiner: Unassigned Group Art Unit: 2122
Docket No.: VRT0091US

Austin, Texas
April 20, 2004

COMMISSIONER FOR PATENTS
P. O. BOX 1450
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Pursuant to 1276 OG 55, wherein the USPTO waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, no copies of U.S. patents or U.S. patent applications are enclosed. Copies of any listed foreign patent documents, pending non-published U.S. patent applications, and non-patent literature are enclosed

Citation of these documents shall not be construed as:

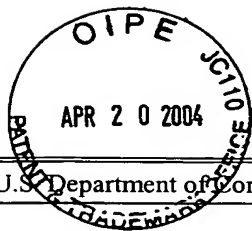
1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

EXPRESS MAIL NUMBER:

EV 304738713 US

Respectfully submitted,

Marc R. Ascolese
Attorney for Applicant(s)
Reg. No. 42,268



U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: VRT0091US	Application No.: 10/717,037
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant(s): Siddhartha Nandi et al.	Confirmation No.: 7317
		Filing Date: November 19, 2003	Group Art Unit: 2122

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	5,557,482	Sep. 17, 1996	Christensen et al.	360	53	
	AB	5,944,838	Aug. 31, 1999	Jantz	714	6	
	AC	6,145,028	Nov. 7, 2000	Shank et al.	710	31	
	AD	6,625,747	Sep. 23, 2003	Tawil et al.	714	6	
	AE	6,691,184	Feb. 10, 2004	Odenwald et al.	710	41	
	AE						

Patent Application Publication

		Publication Number	Pub. Date	Name	Class	Subclass	Filing Date If Appropriate
	AG	US 2002/0019909 A1	Feb. 14, 2002	D'Errico	711	114	
	AH	US/2003/0172182 A1	Sep. 11, 2003	Clay et al.	709	241	
	AI	US/2003/0023893 A1	Jan. 30, 2003	Lee et al.	714	4	

Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
✓	AJ	EP 0788055A2	08 June 1997	Europe				✓
✓	AK	EP 1246060A1	10 Feb. 2002	Europe				✓
✓	AL	WO 02/091689A1	14 Nov. 2002	PCT				✓
✓	AM	WO 02/091690A2	14 Nov. 2002	PCT				✓
✓	AN	WO 02/50682A2	27 June 2002	PCT				✓

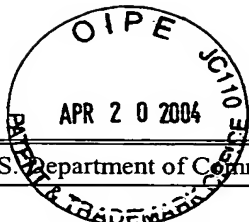
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	AO	"Highly Available Storage: Multipathing and the Microsoft MPIO Driver Architecture," White Paper, Microsoft® Windows Server System; Published October 2003, pages 1-14.
	AP	
	AQ	

Examiner

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.



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	AA					
	AB					
	AC					
	AD					
	AE					
	AF					

Patent Application Publication

	Publication Number	Pub. Date	Name	Class	Subclass	Filing Date If Appropriate
✓	AG US2001/0050915A1	Dec. 13, 2001	O'Hare et al.	370	400	
	AH					
	AI					

Foreign Patent Documents

							Translation	
	Document	Date	Country	Class	Subclass		Yes	No
✓	AJ JP2003099384A2	04 April 2003	Japan				✓	
✓	AK JP200330924A2	30 Nov. 2000	Japan				✓	
	AL							
	AM							
	AN							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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